



04-14-08

PTO

Attorney Docket No. 84,381

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: Denise Doolan et al.  
Serial No.: 10/706,088  
Filing Date: 11/13/2003

Title: METHODS AND COMPOSITIONS FOR INDUCING IMMUNE RESPONSES  
AND PROTECTIVE IMMUNITY BY PRIMING WITH ALPHAVIRUS REPLICON  
VACCINES

TRANSMITTAL LETTER

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.97 and 1.98, applicant wishes to make the following reference of record in the above-identified application. This reference may be material to the patentability of the presently pending claims. A copy the reference cited below is enclosed alone with a completed PTO/SB/08 form.

Respectfully,

Ning Yang  
Reg. No. 55,750  
Customer No. 22245

Date: April 9, 2008

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)



Application Number	10706088
Filing Date	2003-11-13
First Named Inventor	Denise L. Doolan
Art Unit	1645
Examiner Name	Zeman, Robert A
Attorney Docket Number	NC 84,381

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

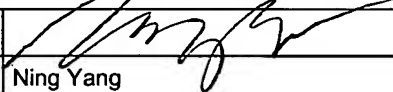
☒ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2008-04-09
Name/Print	Ning Yang	Registration Number	55750

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**